

Upcoming evaluation: Policy dialogue in Ukraine



Information sheet
May 2013

During 2013 the Evaluation Department (EvD) of the European Bank for Reconstruction and Development (EBRD) is conducting an evaluation of the EBRD's experience with policy dialogue in Ukraine.

What is this study about?

What is the background?

EvD is an independent department within the EBRD charged with assessing whether the Bank's products and services are achieving the results expected of them – in short, what worked, what didn't and why? The Department reports directly to the Executive Board of Directors to ensure objectivity in its work. EvD assesses performance, identifies lessons and makes recommendations to improve future performance. Each evaluation carried out by EvD is guided by an approach paper, which spells out the scope of the evaluation, the questions it proposes to answer, methods to be employed, and sources of information.

Each year EvD carries out a work programme of evaluations – the Ukraine policy dialogue is one item on EvD's 2013 work programme, at the request of the Executive Board of Directors.

Further information is available at <http://www.ebrd.com/evaluation>

What is the scope of this study?

For this study, policy dialogue is defined as interactions and activities undertaken by the EBRD staff and/or consultants with policy actors in Ukraine for the express purpose of bringing about changes in policies, legal and regulatory frameworks, institutions and practices, perceptions and attitudes in Ukraine for the overall benefit of the country. Policy actors may include politicians, government officials, organised groups in society and other international parties. Interactions may be direct, for example influence on decision-makers, or indirect, such as advocacy via the media and public events.

The evaluation is to identify context-specific findings and lessons that may make future policy dialogue in Ukraine and other countries more effective and efficient.

An evaluative framework has been adopted comprising: the policy problem being addressed; key events which have changed the context; the main policy actors involved, their roles and channels of influence; inputs made by the EBRD; actions taken by the various policy actors; specific outputs from policy dialogue; and, the outcomes achieved.

What are the evaluation questions to be addressed?

The questions to be explored are what the EBRD's experience with policy dialogue in Ukraine tells us about the way in which the EBRD's policy dialogue is:

- Conceived, planned and strategized
- Carried out
- Reviewed, monitored, reported and evaluated
- Resourced
- Leading to results

What is the approach to this study?

A case study approach based on sectors, topics or themes has been adopted. The cases will be analysed in depth: (1) energy efficiency; (2) financial institutions including local currency initiative; (3) agribusiness (grain sector reform); (4) regional case of city of Lviv; (5) power and energy utilities. Other areas where the EBRD has been active in policy dialogue in a substantive way will be analysed more selectively.

The selection of case studies has been made on the basis of a range of criteria, including: 1) the significance of the EBRD's involvement in policy dialogue; 2) coverage of policy dialogue in both public and private sector operations; 3) national and regional/municipal dialogue; 4) a mix of successful and unsuccessful experiences.

The evaluation will take into account the experience of other international financial institutions – in particular the IMF and World Bank Group – and the degree of coordination among them.

What is the expected timing?

The approach paper for the evaluation of policy dialogue in Ukraine was approved in May 2013 and is available on the [website](#).

The main stage of research will be completed by July 2013 with the final report undergoing internal and external review in October 2013. The Audit Committee of the Executive Board of Directors is expected to discuss the report along with Management Comments by November 2013. Publication on the EBRD website is expected in December 2013. EvD welcomes input from interested people at any stage of the evaluation.

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